# A Theory of Surface Enrichment in Ordered Alloys

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A very simple theory has been developed to explain experimental data on surface enrichment in Pt<sub>3</sub>Sn. The computed surface enrichment is in accord with experimental findings. The theory predicts that in the Pt<sub>3</sub>Sn system enrichment occurs by interchange of atoms of the element with the lower heat of sublimation from the layer just below the surface with atoms of the other element in the surface. Arguments are presented why an experiment performed on Au-Cu alloys should be capable of verifying an assumption basic to the theory.

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## I. INTRODUCTION

In the past few years evidence has been obtained indicating that the surface composition of a binary alloy can differ from its bulk composition; this holds for both disordered solid-solution alloys such as Ag-Pd (1) and ordered alloys such as Pt<sub>3</sub>Sn (2). The enrichment with one alloy partner is believed to be essential to the typical catalytic properties of alloy surfaces.

The few data available seem to support the rule that the surface becomes enriched in the component having the lower sublimation energy. The theory outlined here contains this as an essential result. The basic assumption underlying it is that strain or other size effects can be ignored. Also presented are some arguments which illustrate why an experiment performed on Au<sub>3</sub>Cu or Cu<sub>3</sub>Au alloys should be capable of showing whether our theory is valid or whether the strain effects mentioned should be taken into account as well.

The experimental evidence of surface enrichment in  $Pt_3Sn$  stems partly from Auger spectroscopy. As this technique scans not only the atoms of the surface layer but also those at a few lower-lying levels, it may give some idea of how the composition varies over these layers. This is of interest, because surface titration gives qualitatively the same results as Auger spectroscopy  $(\mathcal{S})$ , but quantitatively it yields much higher values of surface enrichment.

The very simple theory presented here assumes only nearest-neighbour interactions, an approximation commonly used in order-disorder theories of alloys (4). The approximations made are rather crude, but we hope that this theory may at least qualitatively explain the experimentally found behaviour.

## II. BASIC FEATURES OF THE THEORY

If  $E_{11}$  and  $E_{22}$  are the nearest-neighbour bond energies of components 1 and 2 in their pure metals, and  $E_{12}$  is the bond energy between the two components in the alloy, then we can define an important parameter for a binary alloy, viz.,

$$\alpha = E_{12} - \frac{1}{2} \left( E_{11} + E_{22} \right). \tag{1}$$

The E's are negative quantities, so if  $\alpha < 0$ , alloy formation is an exothermic process and there is a critical temperature  $T_{\rm c}$  below which the alloy is ordered and above which it is disordered. In the Pt<sub>3</sub>Sn

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alloy  $\alpha < 0$ ,  $T_c$  can be roughly estimated by means of the Bragg-Williams approximation (4):

$$kT_{\rm c} = 2X_{\rm A}X_{\rm B}W,\tag{2}$$

with

$$W = -z\alpha, \qquad (3)$$

where  $X_{\rm A}$  and  $X_{\rm B}$  are the concentrations of elements A and B, respectively, and z is the number of nearest neighbours. If  $\alpha > 0$ the heat of formation is positive. Now there is a critical temperature below which demixing occurs and above which the alloy forms a solid solution. An example of such an alloy is Cu-Ni. Surface enrichment in this type of system has already been dealt with extensively by Sachtler *et al.* (5).

Surface enrichment takes place if a lowering of surface free energy is involved, according to the rule of Gibbs ( $\theta$ ). The phenomena described here are most easily explained by means of a one-dimensional chain with equal concentrations of the elements. The statements are verified for the three-dimensional system in a later section.

If the one-dimensional system is ordered, i.e., for  $T < T_c$ , one finds surface enrichment by component 2 to occur if:

$$\frac{1}{2}(E_{11}-E_{22}) < \alpha \tag{4}$$

Formula (4) shows that surface enrichment occurs in the component with the lower heat of sublimation. This effect can, however, be counterbalanced if  $\alpha$  is highly negative. Formula (4) applies if one inverts two neighbouring atoms at the end of the chain. If non-neighbouring atoms are interchanged, the energy is increased by  $-2\alpha$ . Therefore the former process is favoured. At  $T > T_{e}$ , that is if disordering takes place, both processes become energetically favourable. Surface enrichment now always takes place in the component with the lower heat of sublimation. Upon neglecting the entropy of demixing, which should be small if the system is disordered, inversion is favoured over interchange of non-nearest-neighbours by the Boltzmann equilibrium constant  $4e^{-2\alpha/kT}$ . This is the very factor that governs short-range order in the quasi-chemical approximation if long-range order has disappeared (7). Its effect should be appreciable if  $T \sim T_c$ .

The consideration presented supposes that  $T_c$  is finite for a one-dimensional system. If the system were truly one-dimensional  $T_c$  would equal zero. However, as there are more than two neighbouring atoms in three dimensions, we can assume  $T_c$  to be finite.

## III. CRYSTAL STRUCTURE OF Pt<sub>3</sub>Sn

 $Pt_3Sn$  has the AuCu<sub>3</sub> L12 structure (8), with a = 4.01 Å. At the corners of the unit cell (see Fig. 1) tin atoms are situated, with platinum atoms at the centre of each face. The shortest Pt-Sn distance is 2.81 Å. There are important differences between the planes. The (111) plane is the most densely packed; it contains three platinum atoms for every tin atom, and hence has a composition identical with that of the bulk. Each atom has nine nearest neighbours. In the (100) plane, however, there are equal numbers of the two types of atom and each has eight nearest neighbours. In the (200) plane there are only platinum atoms, each with eight nearest neighbours. We shall consider only surface enrichment of the (111) and (100) planes. Whereas in most surfaces atoms of incomplete coordination are encountered not only

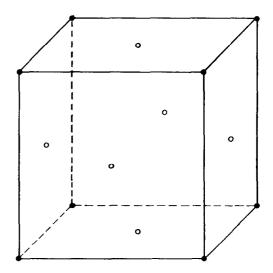


FIG. 1. The unit cell of Pt<sub>3</sub>Sn, a cube with a = 4.01 Å. ( $\bigcirc$ ) Sn atoms; ( $\bigcirc$ ) Pt atoms.

in the surface plane, (111) and (100) are the only faces for which all atoms below the plane are fully coordinated.

We shall assume that only nearestneighbour interactions are important and that they are additive. We neglect all changes in distance at the surface and assume that strain and other size effects are insignificant. The parameters  $\epsilon_{11} = E_{\text{Pt-Pt}}$ ,  $\epsilon_{22} = E_{\text{Sn-Sn}}$ , and  $\epsilon_{12} = E_{\text{Sn-Pt}}$  can be derived from the pure metals and from the heat of formation of Pt<sub>3</sub>Sn, respectively. Platinum has a f.c.c. structure; *a* is 3.92 Å and the shortest Pt-Pt distance is 2.76 Å, less than in Pt<sub>3</sub>Sn. The heat of sublimation of platinum is 563.2 kJ/g. at. (9), so a crude approximation of  $\epsilon_{11}$  for Pt<sub>3</sub>Sn is

$$\epsilon_{11} = \frac{563.2}{6} \text{ kJ/g.at.} = 93.9 \text{ kJ/g.at.}$$
 (5)

For tin the situation is more dubious. In  $Pt_3Sn$  each tin atom has twelve nearest neighbours, whereas in gray tin it has four and in white tin six. The distances, however, between the tin atoms in Sn and  $Pt_3Sn$  do not differ much. The heat of sublimation of tin is 280.0 kJ/g.at. (9), thus

$$\epsilon_{22} = \frac{280}{6} \text{ kJ/g.at.} = 46.7 \text{ kJ/g.at.}$$
 (6)

The heat of formation of  $Pt_3Sn$  is unknown, but that of  $Pd_3Sn$ , which has the same structure as  $Pt_3Sn$ , has been found to be -58.6 kJ/g.at. (10). The formation of  $Pd_3Sn$  is accompanied by a large negative entropy change (-32.9 J/g.at. degree), which is mainly due to a decrease in vibrational entropy. Employing these figures, we find:

$$\alpha = -\frac{1}{3} (58.6 - T \cdot 32.9 \times 10^{-3}) \text{ kJ/g.at.}$$
(7)

Since the free energy of formation of  $Pt_3Sn$  is not known and platinum and palladium show only some small differences, we shall use the above value for  $\alpha$  in our calculations.

## IV. THE ORDER-DISORDER PARAMETERS

There is a rich literature in order-disorder transitions (4, 7) in binary alloys and from it two parameters, S and  $\sigma$ , appear to be very useful in describing the phenomenon. The first is the long-range order parameter S, whose value is put equal to 1 for complete order and equal to 0 for complete disorder. For Pt<sub>3</sub>Sn this parameter becomes zero at  $T_c$ . If x denotes the chance of finding a platinum atom in a platinum position in the completely ordered system it depends on S according to

$$x = \frac{3}{4} + \frac{1}{4}S.$$
 (8)

The second parameter, the short-range parameter  $\sigma$ , does not in general vanish at the critical temperature  $T_c$ . Let  $p_{AB}$  denote the correlation probability by which, in the Bragg-Williams approximation, the expression for the number of AB pairs,  $n_{AB}$ , has to be multiplied in order to give the exact expression. If S = 0,  $\sigma$  is given by

$$\sigma = 3(p_{AB} - 1). \tag{9}$$

The derivation can be found in the Appendix. It is seen that  $\sigma$  vanishes in the Bragg-Williams approximation, because  $p_{AB} = 1$ . The reason why  $\sigma$  does not vanish if S is zero is that  $\sigma$  denotes the probability that formation of the pair AB is favoured over that of AA or BB. Introducing:

$$K = \frac{(n_{\rm AB})^2}{(n_{\rm AA})(n_{\rm BB})},$$
 (10)

we have the following relation between  $\sigma$  and K if S = 0 (see Appendix):

$$[(\sigma + 3)^2 - 16\sigma]K = 4(\sigma + 3)^2.$$
 (11)

The parameter  $\sigma$  has been plotted as a function of K in Fig. 2. It is immediately seen that  $\sigma = 0$ , if K = 4. This is the value of K in the Bragg-Williams approximation. In the quasi-chemical approximation

$$K = 4e^{-2\alpha/kT}.$$
 (12)

As is illustrated by Fig. 2, if  $kT \sim -2\alpha$ ,  $\sigma$  becomes 0.36, so appreciably different from zero.

We will now present the energy expressions required for computing the surface energies. There are various expressions, depending on the value of S. Whether surface enrichment is achieved by interchange

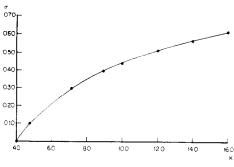


Fig. 2.  $\sigma$  as a function of K, if S = 0.

with the atoms next to the surface or with atoms from the bulk depends on the value of σ.

#### V. THE ENERGY EXPRESSIONS

The simplest way of evaluating the surface energy as a function of temperature is to assume that S does not change on the formation of a surface. This, of course, is not correct because the energy of the surface atoms is different from that of the bulk atoms, but it will only enhance the effects to be discussed here. The surface energies,  $\gamma$ , are thus:

$$\gamma(111) = \frac{3[(-4x^2 + 6x)\alpha - 3\epsilon_{12} + \epsilon_{22}]}{\sqrt{3a^2}},$$
(13a)

$$\gamma(100) = \gamma(200) = \frac{4[(-4x^2 + 6x)\alpha - 3\epsilon_{12} + \epsilon_{22}]}{2a^2}, \quad (13b)$$

$$\gamma(110) = \gamma(220) \\ = \frac{6[(-4x^2 + 6x)\alpha - 3\epsilon_{12} + \epsilon_{22}]}{2\sqrt{2a^2}}, \quad (13c)$$

The  $\gamma$ 's show the correct dependence upon plane. The surface energy equals the surface free energy if we neglect the differences in entropy between the atoms at the surface and those in the bulk. At complete order x = 1, and at complete disorder x = $\frac{3}{4}$ , so we see that the surface energy decreases with increasing disorder. We may, therefore, expect a rapid change if  $T \sim T_{\rm c}$ . Numerical values of the  $\gamma$ 's derived from our choice of parameters are presented in Table 1.

If we allow for interchanges between atoms from the surface with bulk atoms, the following energies have to be added to Eq. (13) per exchange of a surface atom.

$$\Delta E(111) = \frac{11}{2}(\epsilon_{11} - \epsilon_{22}) + (-19 + 68x - 56x^2)\alpha, \quad (14a)$$

$$\Delta E(111) = -1\frac{1}{2}(\epsilon_{11} - \epsilon_{22})$$
  
sn  $\rightarrow$  Pt + (-100 + 200 - 100 ) (141)

$$+ (-103 + 268x - 168x^2)\alpha, \quad (14b)$$

$$\frac{\Delta E(200)}{\mathrm{Pt} \rightarrow \mathrm{Sn}} = 2(\epsilon_{11} - \epsilon_{22}) + (-6 \pm 32r - 32r^2)\alpha = (15a)$$

$$\Delta E(100) = -2(\epsilon_{11} - \epsilon_{22})$$
  
sn  $\rightarrow$  Pt = (-200 + 272 - 120 - 100 -

$$+ (-98 + 256x - 160x^2)\alpha, \quad (15b)$$

 $\Delta E(111)$  denotes the energy needed to replace a platinum atom at the (111) surface

| CALCULATED SURFACE ENERGIES (J/m <sup>2</sup> , 800°K) <sup>3</sup> |              |                |          |  |  |  |  |
|---|--------------|----------------|----------|--|--|--|--|
|   | <b>γ</b> 111 | $\gamma_{100}$ | γ110     | γ <sub>exp</sub> . <sup>14</sup><br>(M.P.) | γ <sub>exp.</sub> <sup>15</sup><br>(1310°C |  |  |
| Pt  | 3.5          | 4.0            | 4.3      | 1.8  | 2.3  |  |  |
| $Pt_3Sn$<br>(S = 1)   | 3.1          | 3.6            | 3.7      |  |  |  |  |
| $Pt_{3}Sn$ $(S = 0)$  | 3.1-0.05     | 3.6-0.06       | 3.7-0.06 |  |  |  |  |
| AuCu <sub>3</sub>   | 2.5          | 2.8            | 3.0      |  |  |  |  |
| Au₃Cu   | 2.3          | 2.6            | 2.8      |  |  |  |  |
| Au  | 2.2          | 2.5            | 2.8      | 1.2  |  |  |  |
| Cu  | 2.5          | 2.9            | 3.0      | 1.3  |  |  |  |

TABLE 1

<sup>a</sup> Parameters of the Au–Cu alloys:  $\epsilon_{Au} = -63.1 \text{ kJ/g.at.}$ ,  $a_{Au} = 4.07 \text{ Å}$ ;  $\epsilon_{Cu} = -56.8 \text{ kJ/g.at.}$ ,  $a_{Cu} = -56.8 \text{ kJ/g.at.}$ ,  $a_{Cu} = -56.8 \text{ kJ/g.at.}$ ,  $a_{Cu} = -56.8 \text{ kJ/g.at.}$ 3.61 Å;  $\alpha_{AugCu} = -1.3 \text{ kJ/g.at.}$ ,  $a_{AugCu} = 3.95 \text{ Å}$ ;  $\alpha_{Cu_3Au} = -2.3 \text{ kJ/g.at.}$ ,  $a_{Cu_3Au} = 3.71 \text{ Å}$ . The values of a have been computed with the aid of Végard's rule.

by a tin atom. The other  $\Delta E$ 's have an analogous meaning. Expressions (14) and (15) have been evaluated by interchanging adjacent platinum and tin atoms. For interchanges other than those between next neighbours we have to add  $-2\alpha$  to all expressions. For values of  $x \neq 1$ , the expressions for energy change in (200) and (100) planes have been averaged over the contribution of the complementary plane. It is seen that the energy changes decrease if xdecreases.

## VI. SURFACE-ENRICHMENT PROBABILITIES

The degree of surface enrichment can be derived by subtracting from the change in energy the change in entropy of mixing and by minimizing this expression (11). This is basically the procedure we follow. There is, however, an essential difference between the entropy expression we use and the one found in the theory of surface tension of liquids (11). This is because in our treatment the entropy of the surface is not independent of the entropy in the layer next to it. We consider surface enrichment to take place by interchange between nearest neighbours, a concept which in the case of liquids makes no sense. We have already noted that the extra energy needed to interchange non-nearest neighbours is  $-2\alpha$  per surface atom exchanged. This is precisely the energy that governs short-range order [Eq. (12)]. So, as long as short-range order is appreciable, the interchange between non-nearest neighbours is negligible. It is within this approximation that our results are valid. As the entropy gain is much larger when interchange between nonnearest neighbour pairs becomes important our results present a lower limit if shortrange order is much less than one.

If  $r_a$  and  $r_b$  are the overall relative concentrations of tin and platinum, respectively, then the entropy of mixing as a function of S for the (111) plane is Here  $x_a$  is the change in the percentage composition of those atoms which have the lower concentration (prior to changing plane). The factor of 3 originates from the three possibilities of enrichment in the other plane. N is the number of surface atoms. If S = 1, we have the following equation for surface enrichment:

$$\frac{\frac{1}{3}x_{a}}{\frac{1}{4}-x_{a}} = e^{-\Delta E/kT}.$$
 (17)

If S = 0, we find for surface enrichment probability:

$$\frac{\frac{34}{4} + x_{a}}{\frac{14}{4} - x_{a}} = 3e^{-\Delta E/kT}.$$
 (18)

The corresponding equations for (100) and (200) planes are

$$\frac{x_{\rm a}}{\frac{1}{2} - x_{\rm a}} = 2e^{-\Delta E/kT}$$
 if  $S = 1$  (19)

and Eq. (18) if S = 0.

# VII. RESULTS AND DISCUSSION

Table 1 lists the calculated surface energies of the (111), (100), and (110) faces of Pt, Pt<sub>3</sub>Sn, AuCu<sub>3</sub>, Au<sub>3</sub>Cu, Au, and Cu. We find that the computed values of  $\gamma$  are higher than those measured. This is partly due to the fact that the measured values refer to the liquid metals. We believe that the basic reason for the discrepancy which remains is the neglect of the delocalized nature of the electrons in a metal. Preliminary results of quantum mechanical calculations (16) lead to values more in agreement with the experimental data for the energies involved, while the qualitative features of the model are not changed. The values for AuCu<sub>3</sub> and Au<sub>3</sub>Cu are included because they show an interesting phenomenon.

We see that  $|\epsilon_{Au}| > |\epsilon_{Cu}|$  (9) but find that  $\gamma_{Cu} > \gamma_{AuCu_3} > \gamma_{Au_3Cu} > \gamma_{Au}$ . So the surface energy in these systems is not determined by a difference in sublimation energy, but

$$-kT \ln \left\{ 3^{r_{a}} \frac{(r_{a}N)!}{[(r_{a} - x_{a})(r_{a} + r_{b}S)N]![\{r_{b}(r_{a}(1 - S) + x_{a}S) + r_{a}x_{a}\}N]!} \times \frac{(r_{b}N)!}{[r_{b}\{(r_{b} + x_{a}) + S(r_{a} - x_{a})\}N]![r_{b}(r_{a} - x_{a})(1 - S)N]!} \right\}$$
(16)

by the difference in atomic radii. The density in the surface decreases from copper to gold. If our theory is valid and if enrichment occurs in the  $Au_3Cu$  or the  $Cu_3Au$  systems, then we expect the enrichment to be in copper. However, the large difference in radii can make strain effects important and these should favour enrichment of gold atoms. So an experiment performed on this system should be capable of indicating whether or not the theory presented is valid.

The Au<sub>3</sub>Cu and Cu<sub>3</sub>Au systems are also of interest for a different reason. The  $T_c$ of Au<sub>3</sub>Cu is approximately 210°C and that of Cu<sub>3</sub>Au 390°C (8). Effects due to a difference in ordering of the sample should therefore be present and experimentally measurable.

Table 2 lists the numerical values of the energy involved in interchanging two atoms in the outer layers; the values are derived from formulae (14) and (15). The table clearly shows the difference in energy between an ordered (S = 1) and a disordered (S = 0) system. Substitution of these values into Eqs. (17), (18), and (19) yields the surface enrichment. The equations for  $x_a$  are

(111) plane:

$$x_{a} = \frac{1}{4} \frac{3e^{-\Delta E/kT}}{1 + 3e^{-\Delta E/kT}}$$
 (S = 1) (20a)

both planes:

$$x_{a} = \frac{3}{4} \frac{e^{-\Delta E/kT}}{1 + 3e^{-\Delta E/kT}}$$
 (S = 0) (2.3b)

(100-200) plane:

$$x_{a} = \frac{e^{-\Delta E/kT}}{1 + 2e^{-\Delta E/kT}}$$
 (S = 1) (20c)

Of course, if  $\Delta E > 0$ , we find an increase in concentration change of the surface with increasing temperature. Table 3 gives the values of T for which half of the atoms with lowest concentration have interchanged and  $\Delta E > 0$ .

Table 4 lists the values of  $x_{\rm a}$  computed for the interchanges with  $\Delta E < 0$ . For  $Pt_3Sn$  they are computed at  $T = 800^{\circ}K$ , and for Au<sub>3</sub>Cu and Cu<sub>3</sub>Au at  $T = 500^{\circ}$ K in order to compare our predictions with experiment. We find that the surface is enriched with the element having the lower heat of sublimation and that this enrichment is quite pronounced. In the (100) direction, there is no change in surface enrichment between the ordered and the disordered system. In both cases all the tin atoms in the layer next to the surface layer are replaced by platinum atoms. Therefore, surface enrichment is predicted to be 50% in the (200) plane in the ordered system and 25% in the (200) and (100) planes in the disordered systems. The overall enrichment along the (100) direction both in the ordered and the disordered system is therefore 25%.

In the most densely packed plane, the (111) plane, no surface enrichment occurs at very low temperature for  $Pt_3Sn$ . At 872°K, however, we find an enrichment of 12.5%, as is seen in Table 3. For a disordered crystal we have at this tempera-

| kJ/g.at.        | $Pt_{3}Sn$          |   | Cu <sub>3</sub> Au |         | Au₃Cu               |                     |
|-----------------|---------------------|---|--------------------|---------|---------------------|---------------------|
|                 | $Pt \rightarrow Sn$ | $\operatorname{Sn} \to \operatorname{Pt}$ | Cu → Au            | Au → Cu | $Cu \rightarrow Au$ | $Au \rightarrow Cu$ |
| $\Delta E(111)$ |                     |   |                    |         |                     |                     |
| S = 1           | +8.0                | +99.2                                     | +24.4              | -2.5    | +14.1               | -0.04               |
| S = 0           | -72.4               | +29.6                                     | +4.0               | -10.5   | +8.7                | -10.0               |
| $\Delta E(100)$ |                     |   |                    |         |                     |                     |
| S = 1           | -25.2               | +110.3                                    | +25.5              | -12.1   | +15.2               | -4.5                |
| S = 0           | -89.4               | +46.6                                     | +12.5              | -21.7   | +11.4               | -12.5               |

TABLE 2SURFACE-ENRICHMENT ENERGIES  $(T = 800^{\circ} \text{K})^{a}$ 

<sup>a</sup>  $\Delta E_{x \to y}$  denotes the energy needed to replace an x atom at the surface by a y atom from a layer below it.

|             | Pt₃Sn               |   | Cu₃Au               |                     | $Au_3Cu$            |                     |
|-------------|---------------------|---|---------------------|---------------------|---------------------|---------------------|
| K°          | $Pt \rightarrow Sn$ | $\operatorname{Sn} \to \operatorname{Pt}$ | $Cu \rightarrow Au$ | $Au \rightarrow Cu$ | $Cu \rightarrow Au$ | $Au \rightarrow Cu$ |
| <i>T</i>    |                     |   |                     |                     |                     |                     |
| (111) S = 1 | 872                 | 10,000                                    | 2,662               |                     | 1,539               |                     |
| (111) S = 0 |                     | 3,237                                     | 443                 | _                   | 954                 |                     |
| T           |                     |   |                     |                     |                     |                     |
| (100) S = 1 | _                   | 12,055                                    | 2,785               | —                   | 1,662               | —                   |
| (100) S = 0 |                     | 5,096                                     | 1,370               | _                   | 1,242               |                     |

 TABLE 3

 The Values of T for Which  $x_a$  Is Half of Its Maximum Value

ture an enrichment by 25%. So the behaviour of the (111) plane is different from that of the (100) and (200) planes.

In Cu<sub>3</sub>Au and Au<sub>3</sub>Cu there is also less enrichment in the (111) plane for both ordered and disordered systems, but the differences are smaller. In the ordered system we find 21% and 18%, respectively, and in the disordered system 25% in both cases.

#### VIII. CONCLUSION

On the basis of our theory we predict that in systems with a negative heat of formation surface enrichment takes place such that atoms with the higher heat of sublimation on the surface interchange with atoms with the lower heat of sublimation in the layer just below the surface. This goes for both ordered and disordered systems as long as  $kT \ll |2\alpha|$ .

The theory presented does not hold if  $kT \ge |2\alpha|$ , because then a different expression for the entropy of mixing has to be used. The pertinent theories are well docu-

mented in the literature (11, 12) and a recent application of them to disordered alloys is given by Ollis (13).

We predict that surface enrichment in the (111) plane is in general less than in the (100) plane, a result also reported by Ollis (13). In practice we find only a small difference for the ordered system. Surface enrichment in the ordered (111) surfaces is less than in the disordered ones. So the best check on our theory is an experiment performed on the (111) surface of a single crystal. A sharp increase in surface enrichment should then be observed at the critical temperature. In polycrystalline matter this increase will depend on the contribution of (111) planes relative to that of the other planes.

## APPENDIX

## The Short-Range Parameter $\sigma$

In the Bragg-Williams approximation the expressions for  $n_{AA}$ ,  $n_{BB}$ , and  $n_{AB}$  are

$$n_{\rm AA} = \frac{1}{2} z N r_{\rm A}^2 (1 - S^2),$$
 (A1a)

| Values of $x_a$ for $E < 0^a$ |                     |   |                     |         |                     |                     |
|-------------------------------|---------------------|---|---------------------|---------|---------------------|---------------------|
|                               | Pt <sub>3</sub> Sn  |   | Cu₃Au               |         | Au <sub>3</sub> Cu  |                     |
|                               | $Pt \rightarrow Sn$ | $\operatorname{Sn} \to \operatorname{Pt}$ | $Cu \rightarrow Au$ | Au → Cu | $Cu \rightarrow Au$ | $Au \rightarrow Cu$ |
| $x_{u}$                       |                     |   |                     |         |                     |                     |
| (111) S = 1                   | _                   |   | —                   | 0.21    |                     | 0.18                |
| (111) S = 0                   | 0.25                |   |                     | 0.25    | _                   | 0.25                |
| $x_{\mathbf{a}}$              |                     |   |                     |         |                     |                     |
| (200) S = 1                   | 0.50                | —   |                     | 0.50    | _                   | 0.50                |
| (100) S = 0                   | 0.25                |   | —                   | 0.25    |                     | 0.25                |

TABLE 4 VALUES OF  $x_8$  FOR E < 0

" The notation  $x \to y$  means that an x atom at the surface is replaced by a y atom from the layer below it.

$$n_{\rm BB} = \frac{1}{2} z N (r_{\rm B}^2 - r_{\rm A}^2 S^2),$$
 (A1b)

$$n_{\rm AB} = \frac{1}{2} z N (2r_{\rm A} r_{\rm B} + 2r_{\rm A}^2 S^2),$$
 (A1c)

where z is the number of nearest neighbours,  $r_{\rm A}$  the percentage of sites A,  $r_{\rm B}$  the percentage of sites B and N the total number of sites, with

$$r_{\rm A} < r_{\rm B}. \tag{A2}$$

In order to make the above expressions exact we multiply the expression for  $n_{AA}$ ,  $n_{BB}$ , and  $n_{AB}$  by  $p_{AA}$ ,  $p_{BB}$ , and  $p_{AB}$ , respectively. The functions p describe the correlation between the probabilities of finding particles A and B next to one another. Using the relations

$$n_{\rm A} = \frac{2}{z} n_{\rm AA} + \frac{1}{2} n_{\rm AB}$$
 (A3a)

and

$$n_{\rm B} = \frac{2}{z} n_{\rm BB} + \frac{1}{2} n_{\rm AB},$$
 (A3b)

we can express  $p_{AA}$  and  $p_{BB}$  in  $p_{AB}$  and find

$$n_{AA} = \frac{1}{2} z N [r_A - r_A (r_B + r_A S^2) p_{AB}],$$
 (A4a)

$$n_{\rm BB} = \frac{1}{2} z N [r_{\rm B} - r_{\rm A} (r_{\rm B} + r_{\rm A} S^2) p_{\rm AB}],$$
 (A4b)

$$n_{\rm AB} = zNr_{\rm A}(r_{\rm B} + r_{\rm A}S^2)p_{\rm AB} \tag{A4c}$$

These relations enable us to express the equilibrium constant K defined in Eq. (10) in  $p_{AB}$  and S:

$$\sigma = \frac{r_{\rm B}}{r_{\rm A}} \left( p_{\rm AB} - 1 \right). \tag{A8}$$

Putting  $r_{\rm B}$  equal to  $\frac{3}{4}$  and  $r_{\rm A}$  equal to  $\frac{1}{4}$  we find Eq. (9) of the main text:

$$\sigma = 3(p_{AB} - 1). \tag{A9}$$

Solving Eq. (A9) for  $p_{AB}$ , using the values of  $r_B$  and  $r_A$ , and substituting this into (A6) we obtain Eq. (11):

$$[(\sigma + 3)^2 - 16\sigma]K = 4(\sigma + 3)^2.$$
 (A10)

The parameter  $\sigma$  has been plotted as function of K in Fig. 2.

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$$K = \frac{4r_{\rm A}(r_{\rm B} + r_{\rm A}S^2)^2 p_{\rm AB}^2}{r_{\rm B} - (r_{\rm B} + r_{\rm A}S^2) p_{\rm AB} + r_{\rm A}(r_{\rm B} + r_{\rm A}S^2)^2 p_{\rm AB}^2}.$$
 (A5)

If S = 0,  $p_{AB} = 1$ , K reduces to its Bragg-Williams value of 4. If S = 0 Eq. (A5) reduces to

$$K = \frac{4r_{\rm A}r_{\rm B}p_{\rm AB}^2}{1 - p_{\rm AB} + r_{\rm A}r_{\rm B}p_{\rm AB}^2}.$$
 (A6)

The short-range order parameter  $\sigma$  is defined as

$$\sigma = \frac{q - q(\text{random})}{q_{\text{max}} - q(\text{random})}, \quad (A7)$$

where q is the fraction of the pairs which are AB. Substitution of (A4c) into (A7) then gives for S = 0 J. N., Acta Metal. 18, 101 (1970).

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